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PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/840,165
	Filing Date	05/06/2004
	First Named Inventor	GUNTHER DREEZEN et al.
	Art Unit	2831
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	3095.EEM

ENCLOSURES (Check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	National Starch and Chemical		
Signature			
Printed name	Charles W. Almer		
Date	10/27/04	Reg. No.	36,731

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Attorney Docket No. 3095.EEM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gunther Dreezen et al.

Serial number: 10/840,165

Filing Date: 05/06/2004

Group Art unit: 2831

Title: Termination Coating

Examiner:

SUPPLEMENTAL PARAGRAPHS TO USPTO 1449
INFORMATION DISCLOSURE STATEMENT

To Commissioner of Patents:

Sir: Applicant requests that the information disclosed on the accompanying PTO Form 1449 be considered by the Patent Office for the reasons checked here:

- ☐ It is being filed pursuant to 37 CFR § 1.97(b)(1) within three months of the filing date. No fee is required.
- ☐ It is being filed pursuant to 37 CFR § 1.97(b)(3) or (4) before the mailing date of a first office action on the merits. No fee is required.
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The information contained in the Information Disclosure Statement PTO form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

- ☒ In the event that a first Office Action has already issued and is crossing in the mail with this information, it is being filed pursuant to 37 CFR § 1.97(c)(2), before the mailing date of a final action or before a notice of allowance and is accompanied with the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.
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- ☐ It is being filed pursuant to 37 CFR § 1.97(d)(1) and (2), after the mailing date of a final action or after a notice of allowance, but before or simultaneously with the payment of the issue fee, and is accompanied with the below statement and the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.

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Respectfully submitted,

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#62366



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for Form PTO-1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number: 3095.EEM

Application
No.:
10/840,165

EXAMINER NAME:

First Named Inventor: GUNTHER DREEZEN et al.

Sheet 1 of 1

Filing Date: 05/06/2004

Group Art: 2831

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		US- 4,353,816	12/12/82	Iwasa			
		US- 4,921,623	05/01/90	Yamaguchi et al.			
		US-					
		US-					
		US-					
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FOREIGN PATENT DOCUMENTS

*Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		JP 62/074967		None			
		JP 08036915	07/26/94	Shibuya et al.			

EXAMINER SIGNATURE

DATE CONSIDERED

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

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Group Art Unit	2831
Examiner Name	
Attorney Docket Number	3095.EEM

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PARR, R.A. et al.: "A Polymeric Technology For High Bend Strength Terminations for Multilayer Ceramic Capacitors"; CARTS-Europe '97 11 th European Passive Components Symposium; 28-36.	
		NICKER, D.: "Bend It, Shape It, Don't Break It"; Passive Component Industry, March/April 2003.	
		BASSLER, B.T. et al.: "Copper End Termination Materials For Base Metal Electrode Capacitors"; Heraeus Cermalloy Division.	

Examiner Signature		Date Considered	
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